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March 18, 2004



Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Utility Patent Application Transmittal  
Inventor(s): Daniel A. Klein  
Title: *Faucet*  
Assignee: Clack Corporation  
Priority: U.S. Provisional Patent Application No. 60/456,205, filed  
March 19, 2003  
**USPTO Customer Account No.: 23598**  
Attorney Docket No.: 31.075

Dear Sir:

Enclosed in connection with the above-identified utility patent application are:

- the patent application, consisting of
  - 15 pages of specification,
  - 18 claims on 6 sheets,
  - 7 Drawings on 5 sheets,
  - and an Abstract on 1 sheet;
- Fee Transmittal Form PTO/SB/17 (01/03);
- check in the amount of **\$425.00** in payment of the government filing fee for the patent application (\$385) by a *small* entity and assignment recordation fee (\$40);
- a Declaration for Patent Application executed by the inventor, Daniel A. Klein;

- an executed Assignment from the inventor, Daniel A. Klein, to Clack Corporation, and Recordation Form Cover Sheet, Form PTO 1595 (10/02);
- an Information Disclosure Statement (IDS), Form PTO-1449, and copies of the cited documents.

**Small Entity Statement:**

Applicant is a small entity within the meaning of 37 CFR §§ 1.9 and 1.27 and hereby claims small entity status.

**Related Application – Priority Claim**

This application claims priority under 35 U.S.C. §119(e) to U.S. Provisional Patent Application No. 60/456,205, entitled "*Countertop Faucet*," filed March 19, 2003.

• **Deposit Account Authorization**

The Director is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1170.

Respectfully submitted,



Timothy E. Newholm  
Registration No. 34,400

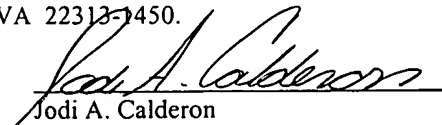
Enclosures

**Certification Under 37 CFR 1.10**

Express Mail Label No: EV313040925US

Date of Deposit: **March 18, 2004**

I hereby certify that this paper or fee is being deposited with the United States Postal Service in "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22312-1450.

  
Jodi A. Calderon

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$425)

## Complete if Known

Application Number 60/456,205  
 Filing Date 3/19/2003  
 First Named Inventor Klein  
 Examiner Name Unassigned  
 Art Unit Unassigned  
 Attorney Docket No. 31.075

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit AccountDeposit Account Number  
Deposit Account Name

50-1170

Boyle Fredrickson Newholm Stein &amp; Gratz S.C.

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments☐ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	1001	770	2001	385		Utility filing fee	385
	1002	340	2002	170		Design filing fee	
	1003	530	2003	265		Plant filing fee	
	1004	770	2004	385		Reissue filing fee	
	1005	160	2005	80		Provisional filing fee	

SUBTOTAL (1) (\$385)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
18	-20**= 0	9	0
3	-3**= 0	43	0
Multiple Dependent			

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description
	1202	18	2202	9		Claims in excess of 20
	1201	86	2201	43		Independent claims in excess of 3
	1203	290	2203	145		Multiple dependent claim, if not paid
	1204	86	2204	43		**Reissue independent claims over original patent
	1205	18	2205	9		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$0)

\*\* or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	1051	130	2051	65		Surcharge - late filing fee or oath	
	1052	50	2052	25		Surcharge - late provisional filing fee or cover sheet	
	1053	130	2053	130		Non-English specification	
	1812	2,520	1812	2,520		For filing a request for <i>ex parte</i> reexamination	
	1804	920*	1804	920*		Requesting publication of SIR prior to Examiner action	
	1805	1,840*	1805	1,840*		Requesting publication of SIR after Examiner action	
	1251	110	2251	55		Extension for reply within first month	
	1252	420	2252	210		Extension for reply within second month	
	1253	950	2253	475		Extension for reply within third month	
	1254	1,480	2254	740		Extension for reply within fourth month	
	1255	2,010	2255	1,005		Extension for reply within fifth month	
	1401	330	2401	165		Notice of Appeal	
	1402	330	2402	165		Filing a brief in support of an appeal	
	1403	290	2403	145		Request for oral hearing	
	1451	1,510	1451	1,510		Petition to institute a public use proceeding	
	1452	110	2452	55		Petition to revive - unavoidable	
	1453	1,330	2453	665		Petition to revive - unintentional	
	1501	1,330	2501	665		Utility issue fee (or reissue)	
	1502	480	2502	240		Design issue fee	
	1503	640	2503	320		Plant issue fee	
	1460	130	1460	130		Petitions to the Commissioner	
	1807	50	1807	50		Processing fee under 37 CFR 1.17(q)	
	1806	180	1806	180		Submission of Information Disclosure Stmt	
	8021	40	8021	40		Recording each patent assignment per property (times number of properties)	40
	1809	770	2809	385		Filing a submission after final rejection (37 CFR 1.129(a))	
	1810	770	2810	385		For each additional invention to be examined (37 CFR 1.129(b))	
	1801	770	2801	385		Request for Continued Examination (RCE)	
	1802	900	1802	900		Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$40)

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	Timothy E. Newholm	Registration No. (Attorney/Agent)	34400	Telephone	414.225.9755
Signature				Date	March 18, 2004

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.